

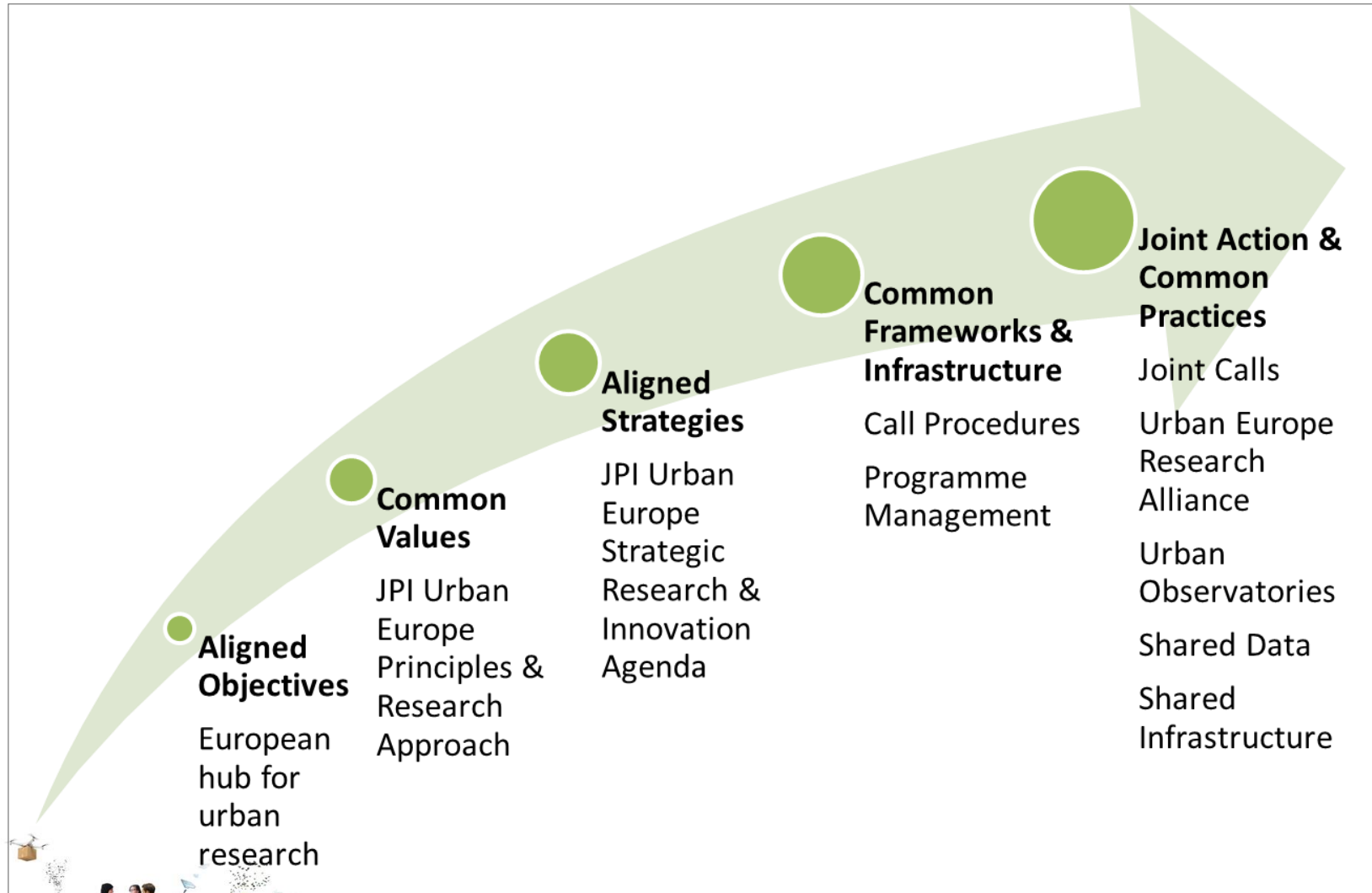
National Preconditions for Alignment

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Alignment @ JPI UE



Political Commitment

How to determine political commitment?

developing a joint SRIA
and implementing joint
transnational RDI calls



transnational policy
alignment and sectoral
policies involved

engaged individuals
of FAs and
ministries working
together

portfolio of
instruments for
cooperation based on
national RDI system

cross-sectoral policy
cooperation - utilisation
of scientific evidence in
policy making

long-term
commitment of FAs,
policy makers

national coordination
platform for JPI-
related matters



Conditions for political commitment

- ERA Roadmaps as important frameworks but actions need to follow on various levels
 - JPI specific actors and instruments
- Alignment needs understanding, trust and time
 - first JPI UE projects have just now generated results
- Addressing societal challenges through mission oriented programmes requires a new paradigm of research
 - overcoming linear innovation models
 - inter- and transdisciplinary research



JPI UE needs and measures

- Call for a coordinating mechanism among the national actors for decision making
- Request to establish national stakeholder platforms
- Long-term call agenda provided for national planning
- Strategic dialogues involving national communities facilitated
- Regular national consultations
- Experimenting with instruments
 - institutional alignment through the Urban Europe Research Alliance
 - policy alignment
 - analysis of urban research infrastructure starting 2017



National Research & Innovation System

Highly differentiated systems with thematic, competitive programmes, dedicated budgets and longer-term planning

- in many cases a strong RDI community trained in transnational cooperation
- various programmes and funding agencies along the innovation cycle need to be connected and involved

Less differentiated systems with open programmes not directly linked with societal challenges, lower budget, less structured RDI community

- often a strong link or need to rely on structural funds
- higher efforts needed for community building and stakeholder involvement



JPI UE examples

- Cyprus: used SRIA as main background document for urban related issues of Smart Specialisation Strategy
- Germany, Norway and Netherlands: strong link to national programme and strategies, connecting national and international projects and activities
- Urban Europe Research Alliance: cooperation of research performing organisations along the SRIA
- Community building needed to achieve transdisciplinary research, overcome traditional research approaches and connect science and policy



Dedicated budgets for ERA activities

- Connection of JPI budget to related national programmes important
- Facilitating cooperation among different FAs and ministries with different national budget procedures and conditions
- Variable geometry as an advantage for realising cooperation under different constraints
- Centralised budget would need to provide flexibility across JPIs, instruments and years
- National coordination is often needed BUT what is the right (policy) level?



JPI UE examples

- Longer term planning of calls based on the multi-annual call agenda
 - framework for national budgeting and decision making
- In-kind contributions by
 - FAs collaborating in calls and other joint activities
 - RPOs cooperating in the UERA



Lead Ministries and Capacities

- High importance of exchange between national JPI representative and GPC member
- Complexity of national decision making processes
 - Involvement of various actors along the innovation cycle
 - Power to decide and power to act and implement
- Capacities for JPI management – professional team
 - Balance between top down management and bottom up driven activities
- Visibility of JPIs on national level



JPI UE examples

- Request for national coordination between various national actors
 - FR, NL: mirror or coordination group
 - SE: coordination among 3 FAs
 - NO, AT: Ministry and RCN represented in Governing Board
- Professional management of the initiative
 - funded in-kind by MS, virtual team
 - day2day management, strategy development and implementation
- Stakeholder involvement platform
 - sophisticated process to mobilise national actors and connect them on transnational level



Flexible Funding for Participation

- Heterogeneous national landscape and conditions result in barriers and complex procedures
- Funding agencies with different missions and framework conditions
 - complex set of conditions for applicants and consortia
 - various FAs per country might need to get involved
- Involvement of S3 or structural funds in several countries extremely difficult to use, for others the only way to cooperate
 - to take higher benefit complexity should be reduced and integration improved
- Addressing societal challenges requires not only business solutions but also new procedures, governance, social innovation
- Portfolio of instruments needed to implement a mission oriented programme



JPI UE Instruments

- Facilitate an innovation eco-system
 - various instruments under development that allow partners and countries to join w/o funding
- Models under development to utilise structural funds
- Innovation actions and programme management
- FAWG: Continuous revision and improvement of call framework conditions to overcome systemic bottlenecks and limitations

