PUBLIC PROCUREMENT PROMOTING INNOVATION IN AUSTRIA
INNOVATIONSFÖRDERNDE ÖFFENTLICHE BESCHAFFUNG (IÖB)
PPPI Action Plan (1)

• Adopted by the Council of Ministers in September 2012 (lead: BMVIT and BMWFW), based on the Austrian Federal Government's RTI Strategy (2011)

• Mission and main objectives:
  – Introducing PPPI as an element of “demand side innovation policy”, complementing supply side measures (leveraging investments in R&D funding)
  – Increasing the share of public procurement volume (~ 43 bn. € p.a.) used for innovation
    • Procuring new products (products newly developed for the customer)
    • First buyer of innovative products
    • Enhancing diffusion of innovative products on the market (new on the market and new for the procurer)
  – Supporting the modernization of the public sector and infrastructure by procuring/using innovative goods and services
PPPI Action Plan (2)

• Operative Goals
  – Reaching a broad political commitment (e.g. PPPI in sectoral strategies,...)
  – Coordinating PPPI measures on federal level
  – Raising awareness
  – Fostering dialogue between supply and demand side
  – Qualifying decision makers and procurers for PPPI
  – Introducing and fostering new approaches for PPPI
  – Establishing a monitoring and benchmarking system
PPPI Action Plan (3)

• Implementation – selected activities/elements

Monitoring and evaluation

Widening the service network

Training course, seminars

International activities

„Innovation“ in procurement law

PPPI Service Center

Providing financing

Measurement (e.g. PPPI pilot survey)

Providing strategic advice

Providing PPPI Tools

Building up trust

Synergies with GPP

Good practice database

PPPI Service Network

Pilot Projects (PCP/PPI, Innovation Partnership)

Online platform (challenges, marketplace)

Networking and Events

Widening the service network

Good practice database

PPPI Service Network

International activities

Providing strategic advice

Providing PPPI Tools

Synergies with GPP
PPPI Governance
Monitoring

• Council meetings (twice a year)
• Reporting obligations and performance indicators PPPI Service Center
• Reporting by selected service network partners
• Reporting by supported pilot projects (PPPI competition)
• Good-practice database
• Bi-annual report on Innovation Procurement in Austria (2013/2014; 2015/2016)
Evaluation: interim assessments

- **2013/2014**: review of the work of the PPPI service network (e.g. PPPI events, governance of the PPPI service network)
- **2015**: interim assessment of the PPPI Service Center (regarding fulfillment of the contract)
- **2016/2017**: study on developing scenarios for the PPPI Service Center 2019 onwards
Evaluation 2017/2018

Subject: Evaluating the implementation of the PPPI Action Plan (2012-2017), based on prior interim assessments

Duration: July 2017 - February 2018

Evaluation Team: Austrian Institute for SME Research (AT; lead), Joanneum Research – POLICIES (AT), Institute for Innovation and Technology (DE), Jakob Edler (UK)

Dimensions:
- Ex-post:
  State of implementation of the PPPI Action Plan (strategic, operative and legal (law) perspective),
  - PPPI Service Center (relevance and impact of services)
  - PPPI Governance (service network, PPPI Council)
  - State-of-play of the monitoring system
- Ex-ante:
  - Recommendations (PPPI Service Center (2019 onwards) governance, monitoring and measurement)
  - Focussing on the „impact“-dimension, „cost-benefit-ratio“
- International dimension:
  - Benchmarking the PPPI initiative in the European context

Results expected early 2018
Measurement

• Challenge: No reliable data on public procurement available (national level)

• Activities:
  – Preparation work - several studies
  – Pilot survey – Statistics Austria (Mr. Schiefer)
  – CIS-data: innovation procurement from the firm perspective
  – Pilot action: Monitoring the potential for innovation procurement via e-tendering (pilot action on province level – Mr. Pöcklhofer)
Future Outlook

• Steady improvement...
  – Broadening political backing
  – Offering tailor-made services
  – Enlarging service network
  – Sound governance
  – Continuous financing
  – Understanding PPPI as „transmission belt“ for public sector innovation
  – Reliable measurement system
  – New support tools
  – ...

• Taking into account
  – recommendations from the Austrian Council for Research and Technology Development
  – decision of the Austrian Council of Ministers from November 2016
  – results from the evaluation
  – results from several pilot actions
  – International good practice
  – MLE results
  – ...