



# Horizon 2020 Policy Support Facility

1<sup>st</sup> MLE on Alignment and Interoperability of  
Research Programmes

Background/Challenge Paper No 1  
**NATIONAL COORDINATION**

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# CONTEXT

## 1<sup>st</sup> of four Background/Challenge Papers

- Others will focus on the three topics  
National Preconditions, National Governance Structures, Communication Flows and Visibility

## Guiding principles for the experts

- This is a ‘learning exercise’ - not an ‘evaluation’
- Participants also keen to apply the learning to their national situation
- The 1<sup>st</sup> report also needs to be an introduction for the topic-specific reports

## Objective of the 1<sup>st</sup> Report is therefore to:

- Provide a high level summary of the background evidence
- Define the main alignment factors that should be the basis for learning and improvement
- Propose a self-assessment framework for learning and improvement
- Set the scene for the topic-specific reports

# ALIGNMENT FACTORS 1: PRECONDITIONS

- Political commitment to the ERA
- A national research & innovation system that prioritises societal challenges
- Dedicated budget for participation in ERA activities
- Lead ministry/agency with dedicated human resources to enable effective participation
- Flexible funding for participation in JPP/P2Ps

# ALIGNMENT FACTORS 2: GOVERNANCE

- Strategic decision making on P2P priorities
- Coordination between ministries across policy domains
- Coordination between ministries and agencies
- Mobilising financial resources for P2P activities
- Involvement of stakeholders
- Measuring impacts and making them visible

# ALIGNMENT FACTORS 3: COMMUNICATION

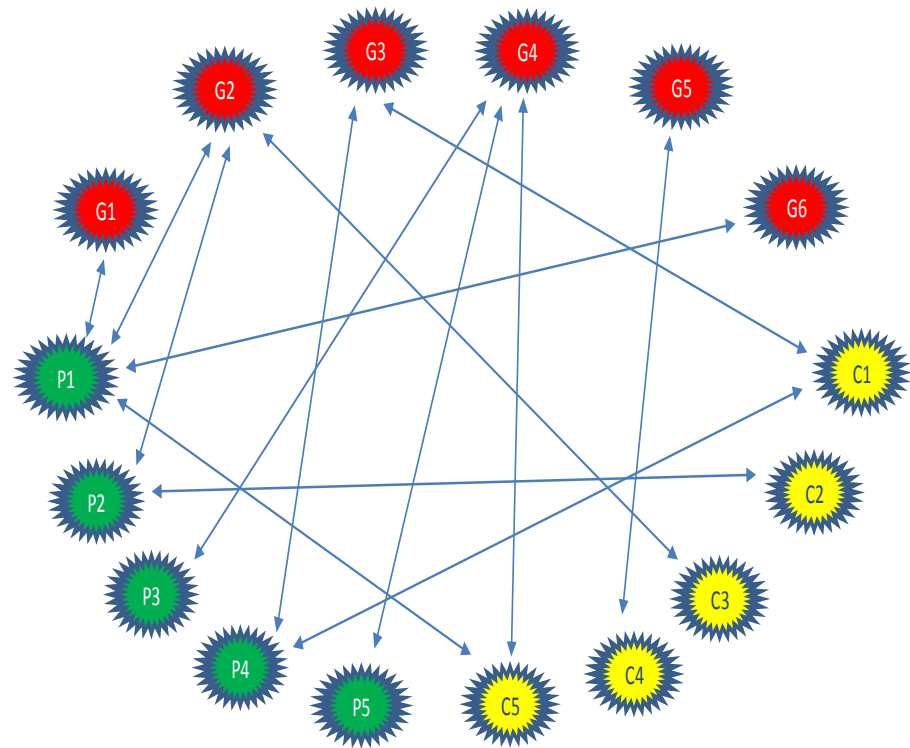
- Communication within the JPI-community
- Alignment of research activities
- Communication with other ministries
- Outreach to industry and other stakeholders
- Information for policy makers

# OVERLAPS & INTERDEPENDENCIES??

P1	Political commitment to ERA
P2	A national research & innovation system that prioritises societal challenges
P3	Dedicated budget for participation in ERA activities
P4	Lead ministry/agency with dedicated human resources to enable effective participation
P5	Flexible funding instruments for participation in JPP/JPIs

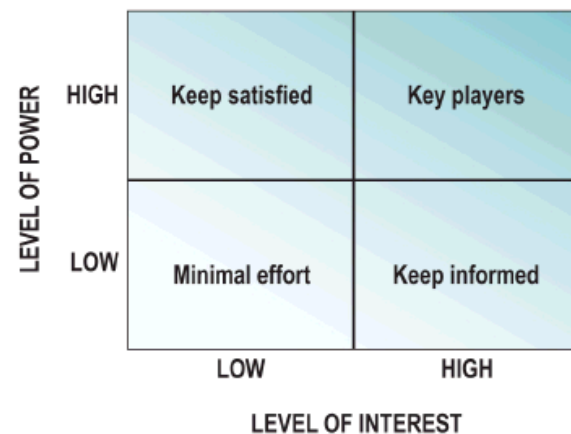
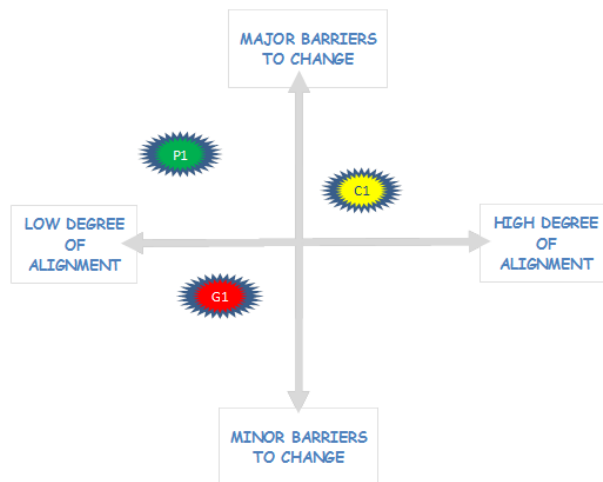
G1	Strategic decision making on P2P priorities
G2	Coordination between Ministries across policy domains
G3	Coordination between Ministries and Agencies
G4	Mobilising financial resources for P2P activities
G5	Involvement of stakeholders
G6	Measuring impacts and making them visible

C1	Communication within the JPI-community
C2	Alignment of research activities
C3	Communication with other ministries
C4	Outreach to industry and other stakeholders
C5	Information for policy makers



# SITUATIONAL FACTORS

- Country-specific factors that influence the barriers to address particular issues
  - Can be favourable or unfavourable, eg:
    - New Government with more positive attitude to societal challenge research 
    - Influential research community that favours 'business-as-usual' 



# Proposed learning Framework

<u>Alignment Factors</u>  PRECONDITIONS		Degree of Alignment				
		1	2	3	4	5
P1	Political commitment to ERA	Political culture that favours national RDI activities				Clear commitment and targets within national RDI strategy and ERA Roadmap
P2	A national research & innovation system that prioritises societal challenges	Societal research is not on the agenda of either the funding organisations or the researchers				Societal challenge research is prioritised and relevant ministries are involved

Self Assessment		
National Situation	Ranking (1-5)	Scope for Learning & Improvement
<i>Briefly describe the national situation</i>	<i>What score (1-5) would you give for the national situation</i>	<i>What do you think could be done to improve that national situation?</i>



# Guiding questions for discussion

- Are there any important ‘Alignment Factors’ missing?
- Do you prefer an alternative label such as ‘Readiness Factors’?
- Is the proposed ‘Learning & Improvement Framework’ a useful tool for self-assessment?
- Is there anything else that you would like to see in the 1<sup>st</sup> Report?