MLE on Innovation Procurement

MLE Process and structure of workshops

Kick-off meeting

Xavier Vanden Bosch, Unit A4
'Analysis and monitoring of national research & innovation policies'
European Commission, DG RTD

19 January 2017
H2020 Policy Support Facility

- Peer Reviews of National R&I Systems
- Specific Support to Countries
- Mutual Learning Exercises
- PSF Knowledge Center
PSF Peer Reviews

In-depth assessments of a country's R&I system carried out by a panel of experts and leading to concrete recommendations to the national authorities on reforms necessary to strengthen their R&I system.

Moldova
Hungary
Bulgaria
Latvia
Ukraine
PSF Specific Support to countries

PSF specific support to countries aims at providing a set of concrete recommendations on how to tackle a specific R&I policy challenge and how to implement the accompanying reforms.

- **Malta**, on the best tools to monitor and evaluate the implementation of their national R&I strategy
- **Slovakia**, on framework conditions for start-ups/high growth innovative enterprises
- **Romania**, on innovative entrepreneurship
- **Slovenia**, on the internationalisation of science and higher education policies, and on the impact of R&I investments
PSF Mutual Learning Exercises

Focussed on specific/operational R&I challenges of interest to several volunteering countries, and draw on a hands-on project-based exchange of good practice.

- Administration and monitoring of R&D tax incentive
  - LV, PT, BE, HR, FR, NO, NL
  - CY, LT, DE (observers)

- Evaluation of business R&D grant schemes
  - NO, DK, ES, SE, TR
  - HU, CZ, CY, DE, RO (observers)

- Evaluation of complex public-private partnerships
  - BE, NO, BG, SE
  - ES, DE, HU (observers)

- Alignment and Interoperability of research programmes
  - FR, LT, DK, TK, NO, AT PT, EE, SE, SI
  - RO, DE (observers)

- Performance-based funding of public research organisation
  - CZ, AT, CY, EE, NO, ES, SI, SE, PT, IT, TK

- Innovation procurement
  - FR, DE, NL, BE, AT, IE, ES, PT, SE, NO, EE, LT, LV, SL, EL, TK
The Horizon 2020 Policy Support Facility is a new instrument that gives Member States and countries associated to Horizon 2020 practical support to design, implement and evaluate reforms that enhance the quality of their research and innovation investments, policies and systems.

The Policy Support Facility provides best practice, independent high-level expertise and guidance at the request of Member States and Associated Countries through a number of services: Peer Reviews, Mutual Learning Exercises and Specific Support to Countries.

The Policy Support Facility replies to the strong need to offer more customer-oriented services to support evidence-based policy making. To organise this process the Commission issues an annual call for Expression of Interest via the European Research Area and Innovation Committee.

Link to PSF website:  https://rio.jrc.ec.europa.eu
Mutual Learning Exercises

Objective:

- Identify **good practice, lessons learned and success factors** as well as the **contextual factors**;
- Foster change.

Approach:

- Mutual learning between interested MS, exploring specific challenges in more detail;
- **Modular approach** & combination of activities (workshops, study visits, information sharing activities, etc...);
- High level advice and assistance from **external experts**.
Roles

PEERS
Participating countries: FR, DE, NL, BE, AT, IE, ES, PT, SE, NO, EE, LT, LV, SL, EL, TK

CHAIR
Charles Edquist

EXTERNAL EXPERTISE
Rapporteur: Jon-Mikel Zabala
Eva Buchinger, Gaynor Whyles

EC – RTD
Unit A4: Xavier Vanden Bosch
Unit B2: Gergely Tardos
Project Management
Viola Peter (Technopolis)
Scoping process

1. ERAC opinion
2. EC Concept paper (annex to call for interest)
3. Participant expectations (written replies)
4. Draft Modus operandi (experts)
5. Discussion Kick-off meeting
6. Final Modus Operandi
4 proposed topics

A - Developing a **strategic framework** for innovation-enhancing procurement

B - **Capacity building** for innovation-enhancing procurement

C - **Financial and other enabling incentives and resources** for innovation-enhancing procurement

D - **Measurement, evaluation and monitoring** of innovation-enhancing procurement
**Preliminary time schedule**

<table>
<thead>
<tr>
<th>Date</th>
<th>Event Description</th>
<th>Topic</th>
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<tr>
<td>19 January 2017</td>
<td>Kick off meeting</td>
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<tr>
<td>March 2017</td>
<td>1st Country visit or Meeting in Brussels</td>
<td>Topic A</td>
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<td>April 2017</td>
<td>2nd Country visit or meeting in Brussels</td>
<td>Topic B</td>
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<td>May 2017</td>
<td>3rd Country visit or meeting in Brussels</td>
<td>Topic C</td>
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<td>June 2017</td>
<td>4th country visit – Vienna, Austria (tbc)</td>
<td>Topic D</td>
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<td>October 2017</td>
<td>Final Meeting in Brussels</td>
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Flow of meetings and reports

- Proposed Modus Operandi and Preparation of the Kick-off Meeting by Rapporteur/experts December 2016
- Kick off meeting in Brussel 19 January 2017
- Agreement on Modus Operandi January 2017
- Background/challenge Paper on Topic A, Preparation of the 1st Country Visit/Meeting and Design of the Survey by Chair + Experts and with contribution from MS February 2017
- 1st Country Visit or Meeting in Brussels March 2017 Topic A
- Thematic Report on Topic A and Final design of the Survey by Rapporteur/experts March 2017
- Background/challenge Paper on Topic B, Preparation of the 2nd Country Visit/Meeting and Survey Submission by Experts and with contribution from MS March 2017
- 2nd Country Visit or Meeting in Brussels April 2017 Topic B
- Thematic Report on Topic B by Experts April 2017
Background/challenge
Paper on Topic C and
Preparation of the 3rd
Country Visit/Meeting
by Experts and
contributions from MS
April 2017

3rd Country Visit or
Meeting in Brussels
May 2017
Topic C

Thematic Report on
Topic C
by Experts
May 2017

Background/challenge
Paper on Topic D and
Preparation of the 4th
Country Visit/Meeting
by Rapporteur and with
contributions from MS
May 2017

4th Country Visit or
Meeting in Brussels
June 2017
Topic D

Thematic Report on
Topic D and Gathering
results from the Survey
by Rapporteur/experts
June 2017

Draft Report on
MLE Innovation-enhancing
Procurement
by Rapporteur + experts
September 2017

Final meeting in Brussels
October 2017

Final Report on
MLE Innovation
Procurement
by Rapporteur + experts
and contributions from MS
October 2017
• Background/Challenge paper: it identifies the specific policy challenge and highlights already some practices and instruments in place in participating countries. It is drafted with inputs from MS;

• Country visits: Information acquisition and learning visit to a participating country related to the topic;

• Report: identifies good practices, lessons learnt and success factors based on robust evidence about the impact of the measures.
Key elements of your input today

• **Topics**

*What are the issues you are facing that you would like to be addressed?*

*Do the individual topics reflect and cover your expectations?*

• **Country visits**

*Do you envisage hosting a workshop?*
Other Commission activities on Innovation Procurement

A. Funding for a EU wide network of innovation procurement competence centers (*H2020-ICT-33(b)*)

B. Study on the strategic use of public procurement for innovation in the digital economy (*More info*)

C. Support for public procurers for preparation and implementation of innovation procurements
A. Funding for a EU wide network of innovation procurement competence centers (H2020-ICT-33(b))

Activities:

- experience sharing on PCP/PPI implementation across Europe, promoting Horizon 2020 funding and synergies with ESIF funding for PCP and PPI to ICT procurers in cooperation with NCPs;
- assisting ICT procurers in starting up such procurements at national level;
- collaborating cross-border to facilitate also transnational joint procurements.

Submission deadline: 25 April 2017
Overall Budget: 4 M Euro (Funding: 100% of total eligible costs)
B. Study on the strategic use of public procurement for innovation in the digital economy (More info)

Study starts in April 2017 – Member State collaboration will be essential

1. To develop an approach that enables systematic measuring and monitoring of the progress across different countries in Europe (all 28 MS + Norway & Switzerland) on implementing a policy mix of measures to mainstream innovation procurement;

2. To gather qualitative and quantitative evidence per country (incl. amount of innovative solutions procured);

3. To identify key disparities, commonalities and trends across Europe;

4. To propose a methodology and guidelines for decision makers to collect in the future this type of data per country in a systematic way and to integrate the results into the relevant European statistics, scoreboards and benchmarking exercises.
C. Support for procurers for preparation and implementation of innovation procurements

1. Horizon 2020 support for innovation procurement (more info)
   - Cross-border PCP and PPI procurements with minimum 2 public procurers from 2 different Member States or Associated Countries
     • 90% co-financing for PCP actions (PCP procurement cost + coordination/networking activities)
     • 35% co-financing for PPI actions (PPI procurement cost + coordination/networking activities)
     • 100% co-financing for CSA actions (coordination activities to prepare future PCPs/PPIs)
   - Examples funded PCP/PPI projects (overview)
   - Horizon 2020 template tender documents for PCP/PPI (see bottom page)
   - Horizon 2020 definition of what is considered PCP and PPI (see Annex E)
   - Synergies between H2020 and the ESIF for Innovation Procurements

2. European Assistance for Innovation Procurement (www.eafip.eu)
   - Assists individual procurers in Member States in preparing and implementing a PCP or PPI nationally
   - Technical (incl. legal) assistance, does not fund the procurers' costs
   - Regular cut-off dates to apply for assistance
Thank you

contact: RTD-PSF@ec.europa.eu