



Horizon 2020 Policy Support Facility

Kick-off meeting Peer Review of Ukraine's R&I system

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Goal

- To help MS "*improve the design, implementation and evaluation of R & I policies*"
- Stronger evidence-based R&I policies
- Drawing on the combination of:
 - **learning between peers** (policy-makers)
 - high-level **experts' advice and assistance**

Services

- (1) Peer Reviews of national R&I systems
- (2) Specific support to countries
- (3) Mutual learning exercises
- (4) PSF knowledge centre: <https://rio.jrc.ec.europa.eu/>

Pipeline

Peer Reviews

- Hungary, Moldova, Ukraine, Latvia

Specific Support

- Malta: monitor and evaluate the national R&I strategy
- Slovenia: internationalisation STI policy, impact of R&I investments
- Slovakia: framework conditions for start-ups/HGIE
- Romania: stimulating innovative entrepreneurship
- Lithuania: increase value added of innovation in traditional industries, attract R&I investments

Pipeline (MLE)

1. Administration and monitoring of **R&D tax incentives**: 6 core MSs (LV, PT, BE, HR, FR, NO) and 3 observers (CY, LT, DE);
2. Evaluation of **Public-private partnerships**: 5 core MSs (AT, BE, NO, BG, SE) and 4 observers (TR, ES, DE, HU)
3. Evaluation of business **R&D grant schemes**: 6 core countries (NO, DK, ES, SE, DE, TR) and 5 observers (HU, CZ, CY, PT, RO)
4. **Alignment and interoperability of research programmes**: 17 countries (AT, DK, EL, EE, FR, IT, IS, IR, MT, HU, NL, NO, PT, RO, SI, ES, SE)
5. **Innovation procurement**: DK, NO, LT, EE, ES
6. **Performance based funding** of PROs: DK, LV, SI, NO, ES, TU

Peer Reviews - design

Building on successful experiences for R&I reforms

Key to success *before start*

- Secure **political commitment** and **trust**
- **Optimal time** in the policy cycle
- Tailor to **specific country needs**

Key to success *during the review*

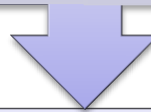
- **Focus** on key R&I policy areas (preparation important!)
- Mix of **experts' and peers'** skills
- Fully involve **stakeholders**
- Limited set of **'key' recommendations**
- More **support** to the peer reviewed country

Peer Reviews of national R&I systems

Phase 1: **DESIGN** and **PREPARATION**

Commitment, Design and Scope of the process, Selection of experts & peers

Collection of background data and reports
Engagement of all relevant stakeholders



Phase 2: **In-depth ANALYSIS** and **PEER REVIEW sessions**

Background analysis
Self-assessment by host country

First Peer Review session- assessment
Second Peer Review session- feedback



Phase 3: **REPORTING**

Discussion of draft Peer Review report with national authorities of the host country

Final report and recommendations
Presentation to all relevant stakeholders

Focus of the Review

- (1) Optimization of **available policy instruments** to support the national research system, including assessment and advice on the tools introduced by the **new Law** on Science, mobility of researchers, potential priority research areas
- (2) Internationalisation of research and integration of Ukraine **into the ERA**, including advice on how to better enhance the presence of the **Ukrainian scientists and SMEs** in the European cooperation schemes
- (3) Role of science in **Ukrainian innovation development**, including how to improve links between science and business and the innovation system

Roadmap

- Kick-off meeting with Ukrainian authorities (May 2016)
- Preparation (background and national documents)
- Division of work
 - Experts (drafting of chapters)
 - Peers (input and case studies)
- 1st country visit with interviews with all relevant stakeholders i.e. Institutions, Academia, business, NGOs etc. (June 2016)
- Preparation of 1st draft report (June-July 2016)
 - Key findings
 - Options for the future
 - Peer validation of key points
- 2nd country visit for feedback on 1st draft findings and refine analysis with topic-based meetings (September 2016)
- Report finalisation and consultations with host country (September - November 2016)

Outcome

Report

- Structure aligned to focus areas but might address other subjects for improvement of Ukrainian R&I system
- Policy messages
 - Focussed
 - Well-justified
 - Political/policy relevant
- Evidence-based limited set of operational recommendations
- Case studies/ country boxes (good practice examples)
- Balance between documentation and interviews
- Neutral language

"Feedback mission" with public event and diffusion of results

Follow-up: country's responsibility/ Post-Peer Review an option



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Research and
Innovation