Horizon 2020
Policy Support Facility

Kick-off meeting
Peer Review of Ukraine's R&I system

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Goal

- To help MS "improve the design, implementation and evaluation of R & I policies"
- Stronger evidence-based R&I policies
- Drawing on the combination of:
  - learning between peers (policy-makers)
  - high-level experts' advice and assistance
Services

(1) Peer Reviews of national R&I systems

(2) Specific support to countries

(3) Mutual learning exercises

(4) PSF knowledge centre: https://rio.jrc.ec.europa.eu/
Pipeline

Peer Reviews
• Hungary, Moldova, Ukraine, Latvia

Specific Support
• Malta: monitor and evaluate the national R&I strategy
• Slovenia: internationalisation STI policy, impact of R&I investments
• Slovakia: framework conditions for start-ups/HGIE
• Romania: stimulating innovative entrepreneurship
• Lithuania: increase value added of innovation in traditional industries, attract R&I investments
Pipeline (MLE)

1. Administration and monitoring of **R&D tax incentives**: 6 core MSs (LV, PT, BE, HR, FR, NO) and 3 observers (CY, LT, DE);

2. Evaluation of **Public-private partnerships**: 5 core MSs (AT, BE, NO, BG, SE) and 4 observers (TR, ES, DE, HU)

3. Evaluation of business **R&D grant schemes**: 6 core countries (NO, DK, ES, SE, DE, TR) and 5 observers (HU, CZ, CY, PT, RO)

4. **Alignement and interoperability of research programmes**: 17 countries (AT, DK, EL, EE, FR, IT, IS, IR, MT, HU, NL, NO, PT, RO, SI, ES, SE)

5. **Innovation procurement**: DK, NO, LT, EE, ES

6. **Performance based funding** of PROs: DK, LV, SI, NO, ES, TU
Peer Reviews - design
Building on successful experiences for R&I reforms

Key to success *before start*
- Secure **political commitment** and **trust**
- **Optimal time** in the policy cycle
- Tailor to **specific country needs**

Key to success *during the review*
- **Focus** on key R&I policy areas (preparation important!)
- Mix of **experts' and peers'** skills
- Fully involve **stakeholders**
- Limited set of **'key' recommendations**
- More **support** to the peer reviewed country
Peer Reviews of national R&I systems

Phase 1: **DESIGN and PREPARATION**
- Commitment, Design and Scope of the process, Selection of experts & peers
- Collection of background data and reports
- Engagement of all relevant stakeholders

Phase 2: **In-depth ANALYSIS and PEER REVIEW sessions**
- Background analysis
- Self-assessment by host country
- First Peer Review session- assessment
- Second Peer Review session- feedback

Phase 3: **REPORTING**
- Discussion of draft Peer Review report with national authorities of the host country
- Final report and recommendations
- Presentation to all relevant stakeholders
Focus of the Review

(1) Optimization of available policy instruments to support the national research system, including assessment and advice on the tools introduced by the new Law on Science, mobility of researchers, potential priority research areas

(2) Internationalisation of research and integration of Ukraine into the ERA, including advice on how to better enhance the presence of the Ukrainian scientists and SMEs in the European cooperation schemes

(3) Role of science in Ukrainian innovation development, including how to improve links between science and business and the innovation system
Roadmap

- Kick-off meeting with Ukrainian authorities (May 2016)
- Preparation (background and national documents)
- Division of work
  - Experts (drafting of chapters)
  - Peers (input and case studies)
- 1st country visit with interviews with all relevant stakeholders i.e. Institutions, Academia, business, NGOs etc. (June 2016)
- Preparation of 1st draft report (June-July 2016)
  - Key findings
  - Options for the future
  - Peer validation of key points
- 2nd country visit for feedback on 1st draft findings and refine analysis with topic-based meetings (September 2016)
- Report finalisation and consultations with host country (September - November 2016)
Outcome

Report

- Structure aligned to focus areas but might address other subjects for improvement of Ukrainian R&I system
- Policy messages
  - Focussed
  - Well-justified
  - Political/policy relevant
- Evidence-base limited set of operational recommendations
- Case studies/country boxes (good practice examples)
- Balance between documentation and interviews
- Neutral language

"Feedback mission" with public event and diffusion of results

Follow-up: country’s responsibility/Post-Peer Review an option
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